



The homotopy Lie algebra of classifying spaces

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Abstract

Let X be a 1-connected CW-complex of finite type and L_X its rational homotopy Lie algebra. In this work, we show that there is a spectral sequence whose E^2 term is the Lie algebra $Ext_{UL_X}(Q, L_X)$, and which converges to the homotopy Lie algebra of the classifying space $BautX$. Moreover, some terms of this spectral sequence are related to derivations of L_X and to the Gottlieb group of X . © 1997 Elsevier Science B.V.

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0. Introduction

In this paper, X will denote a simply connected CW-complex of finite type. Fibrations whose fiber has the homotopy type of X are obtained, up to fiber homotopy equivalence, as pull back of the universal fibration $X \rightarrow B\mathit{aut}^\bullet X \rightarrow B\mathit{aut}X$ [3, 4]; here $\mathit{aut}X$ denotes the monoid of self-homotopy equivalences of X , $\mathit{aut}^\bullet X$ the monoid of pointed self-homotopy equivalences of X , and B the Dold–Lashof classifying space of a monoid.

Denote by $\tilde{B}\mathit{aut}X$ the universal covering of $B\mathit{aut}X$. For the convenience of the reader, we recall the construction of models of $\tilde{B}\mathit{aut}X$. For this, we shall use the theory of minimal models which is well developed in [14, 9, 2].

Denote by (AZ, d) the Sullivan minimal model of X and by $(\mathbb{L}(V), \delta)$ its Quillen minimal model. We define from (AZ, d) and $(\mathbb{L}(V), \delta)$ two Lie algebras of derivations. First, the differential Lie algebra $(DerAZ, D)$ is defined by [14]: in degree $k > 1$, we take the derivations of AZ decreasing degree by k . In degree one, we only consider

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the derivations θ which decrease degree by one and verify $[d, \theta] = 0$. The differential D is defined by $D\theta = [d, \theta] = d\theta - (-1)^{|\theta|}\theta d$.

In the same way, we define a differential Lie algebra $Der\mathbb{L}(V) = \bigoplus_{k \geq 1} Der_k(\mathbb{L}(V))$, where $Der_k(\mathbb{L}(V))$ is the vector space of derivations which increase the degree by k with the restriction that $Der_1(\mathbb{L}(V))$ is the vector space of derivations of degree one which commute with the differential δ .

Define the differential Lie algebra $(s\mathbb{L}(V) \underset{\sim}{\oplus} Der\mathbb{L}(V), \tilde{D})$ as follows:

- $s\mathbb{L}(V) \underset{\sim}{\oplus} Der\mathbb{L}(V)$ is isomorphic to $s\mathbb{L}(V) \oplus Der\mathbb{L}(V)$ as a graded vector space,
- If $\theta, \theta' \in Der\mathbb{L}(V); sx, sy \in s\mathbb{L}(V), [\theta, \theta'] = \theta\theta' - (-1)^{|\theta||\theta'|}\theta'\theta, [\theta, sx] = (-1)^{|\theta|}s\theta(x), [sx, sy] = 0,$
- $\tilde{D}(\theta) = [\delta, \theta], \tilde{D}(sx) = -s\delta x + ad x$, where $ad x$ is the derivation of $\mathbb{L}(V)$ defined by $(ad x)(y) = [x, y]$.

Theorem [13–15]. *The differential Lie algebras $(s\mathbb{L}(V) \underset{\sim}{\oplus} Der\mathbb{L}(V), \tilde{D})$ and $(DerAZ, D)$ are models of the universal covering $\tilde{B} \text{ aut } X$ of $B \text{ aut } X$.*

In particular cases, there is a morphism between the two models above which induces an isomorphism in homology:

Theorem 1. *Let (AZ, d) be the Sullivan minimal model of X and $\mathbb{L}(W) = L_*(AZ, d)$, there is a morphism*

$$\Psi : Der(AZ, d) \rightarrow (s\mathbb{L}(W) \underset{\sim}{\oplus} Der\mathbb{L}(W), \tilde{D}),$$

which induces an isomorphism in homology.

It is well known that a connected differential graded algebra $T(V)$, admits a $T(V)$ free acyclic differential module of the form $(T(V) \otimes (Q \oplus sV), D)$ [1, 10]. The differential is defined by

$$Dv = dv \otimes 1, Dsv = v \otimes 1 - S(\delta v \otimes 1), \tag{i}$$

where S is the Q -graded vector spaces map (of degree 1) defined by

$$\begin{aligned} S(v \otimes 1) &= 1 \otimes sv, S(1 \otimes (Q \oplus sV)) = 0, \\ S(ax) &= (-1)^{|x|} a.S(x), \forall a \in TV, x \in TV \otimes (Q \oplus sV), |x| > 0. \end{aligned} \tag{ii}$$

The next result gives an interpretation of the rational homotopy Lie algebra of classifying spaces as a differential Ext [11].

Theorem 2. *Let $(\mathbb{L}(V), \delta)$ be a Quillen model of X and consider the Adams–Hilton acyclic construction $P = (TV \otimes (Q \oplus sV), D)$. There is an isomorphism of differential*

graded vector spaces

$$\Phi : Hom_{TV}(P, \mathbb{L}(V)) \rightarrow (s\mathbb{L}(V) \underset{\sim}{\oplus} Der\mathbb{L}(V), \tilde{D}). \tag{iii}$$

Remark. If (L, δ) is a Quillen model of X , we deduce from [13] that $H_{Lie}^*(L, L)$ and $\pi_*(B\,aut\,X) \otimes Q$ are isomorphic as graded vector spaces. However, the theorem above asserts that $\pi_*(B\,aut\,X) \otimes Q \cong Ext_{TV}(Q, \mathbb{L}(V))$; therefore, since the differential Ext is invariant by quasi-isomorphism, we can compute $\pi_*(B\,aut\,X) \otimes Q$ using any differential graded Lie algebra model of X . In particular, if X is a coformal space, $\pi_*(B\,aut\,X) \otimes Q \cong Ext_{H_*(\Omega X, Q)}(Q, \pi_*(\Omega X) \otimes Q)$.

1. Proof of Theorem 2

Let $f \in Hom_{TV}(P, \mathbb{L}(V))$, define $\Phi(f) = (-1)^{|f|} s f(1) + \theta$, where θ is the derivation of $\mathbb{L}(V)$ of degree $|f| + 1$ verifying $\theta(v) = f(sv)$. It is clear that Φ is a one-one morphism of graded vector spaces. It remains to prove that Φ commutes with the differentials. On one hand,

$$\begin{aligned} D(\Phi(f)) &= D((-1)^{|f|} s x + \theta) \\ &= -(-1)^{|f|} s \delta x + (-1)^{|f|} ad\,x + [\delta, \theta], \quad \text{where } x = f(1). \end{aligned} \tag{iv}$$

On the other hand,

$$\Phi(Df) = -(-1)^{|f|} s(Df)(1) + \theta', \tag{v}$$

where θ' is the derivation of $\mathbb{L}(V)$ defined $\theta'(v) = (Df)(sv)$. In order to verify the equality of expressions (iv) and (v), observe first that

$$(Df)(1) = \delta f(1) = \delta x.$$

Next,

$$\begin{aligned} (Df)(sv) &= \delta(f(sv)) - (-1)^{|f|} f(d\,sv) \\ &= \delta\theta(v) - (-1)^{|f|} f(v \otimes 1 - S(dv \otimes 1)) \\ &= \delta\theta(v) - (-1)^{|f|} (-1)^{|f|+|v|} [v, f(1)] + (-1)^{|f|} f(S(dv \otimes 1)) \\ &= (-1)^{|f|} (ad\,x)(v) + \delta\theta(v) + (-1)^{|f|} \theta\delta(v) \\ &= (-1)^{|f|} (ad\,x)(v) + [\delta, \theta](v). \quad \square \end{aligned}$$

2. Proof of Theorem 1

Define a filtration \mathcal{F} on the differential Lie algebra $Der(AZ, d)$ by the Lie differential subalgebras

$$F_p = \{ \theta \in Der(AZ, d) \mid \theta(Z) \subset A^{\geq p} Z \},$$

This filtration is compatible with the differential, but we have $[F_p, F_q] \subset F_{p+q-1}$. To obtain a filtered Lie algebra in the usual sense, define $(s^{-1}F)_p = F_{p+1}$, so we have $[(s^{-1}F)_p, (s^{-1}F)_q] \subset (s^{-1}F)_{p+q}$. But, by commodity, we shall work with the filtration \mathcal{F} . This filtration determines a spectral sequence of differential graded Lie algebras (E^r, d^r) such that $E^2 \cong H_*(Der(AZ, d_2))$, where d_2 denotes the quadratic part of the differential d , and which converges to $H_*(Der(AZ, d))$.

Consider the differential Lie algebra $(\mathbb{L}(W), \delta_1 + \delta_2)$ obtained by applying the Quillen functor L_* to the commutative differential graded algebra (AZ, d) [12]. Recall that $W \cong s^{-1}(AZ)^\vee$, is endowed with a filtration induced by the word length in AZ :

$$W_p = \bigoplus_{q \geq 1} W_{p,q}, \quad W_{p,q} = s^{-1}((A^{p+1}Z)^{q+1})^\vee,$$

The differentials δ_1 and δ_2 are defined by

$$\begin{aligned} \langle sa, \delta_1 s^{-1}b \rangle &= (-1)^{|a|} \langle sda, s^{-1}b \rangle \\ \langle sa_1 \wedge sa_2; \delta_2 s^{-1}b \rangle &= (-1)^{|a_2|} \langle sa_1 a_2, s^{-1}b \rangle \end{aligned} \tag{vi}$$

$a, a_1, a_2 \in AZ, b \in Hom(AZ, Q)$ [12, 15],

and verify $\delta_1(W_{p,q}) \subset \bigoplus_{s < p} W_{s,q-1}, \delta_2(W_{p,q}) \subset (\mathbb{L}^2(W))_{p-1,q-1}$. First, filter P as follows: $P_0 = T(W) \otimes Q, P_1 = T(W) \otimes (Q \oplus (sW)_{1,*}) \cdots P_n = T(W) \otimes (Q \oplus (sW)_{\leq n,*})$.

A filtration of the complex $Hom_{T(W)}(P, M)$ is given by

$$F_n = \{f \in Hom_{T(W)}(P, L(W)) \mid f(P_{n-1}) = 0\}. \tag{vii}$$

This filtration determines a spectral sequence (\bar{E}^r, \bar{d}^r) , such that $\bar{E}^2 = Ext_{H_*(\Omega X, Q)}(Q, \pi_*(\Omega X) \otimes Q)$, which converges to $Ext_{T(W)}(Q, \mathbb{L}(W))$.

Define a morphism $\Psi : Der AZ \rightarrow s\mathbb{L}(W) \oplus_{\cong} Der \mathbb{L}(W) \cong Hom_{T(W)}(P, \mathbb{L}(W))$, as follows:

$\forall \theta \in Der AZ, \Psi(\theta) = su + \alpha$, where $u \in \mathbb{L}(W)$ and $\alpha \in Der \mathbb{L}(W)$ are defined by

$$\alpha(W) \subset W$$

and

$$\langle sx, \alpha(s^{-1}z^*) \rangle = \begin{cases} -(-1)^{|\theta||x|} \langle s\theta(x), s^{-1}z^* \rangle & \text{if } \theta(x) \in A^+Z, \\ 0 & \text{otherwise,} \end{cases}$$

$$u \in W \text{ and } \langle sx, u \rangle = \begin{cases} \theta(x) & \text{if } \theta(x) \in Q, \\ 0 & \text{otherwise, } x, z \in AZ. \end{cases}$$

It is a straightforward computation to show that Ψ is a differential Lie algebra morphism which is compatible with filtrations. In particular,

$$Im \Psi = \{sx + \alpha \mid x \in W_0, \alpha(W_p) \subset \bigoplus_{q \leq p+1} W_q, adx + [\delta_2, \alpha] = 0\}. \tag{viii}$$

Therefore, by a spectral sequence argument [5], it remains to prove that the morphism $\Psi^2 : E^2 \rightarrow \bar{E}^2$ is an isomorphism. First, observe that $\bar{E}^2 = H_*(s\mathbb{L}(W) \oplus_{\cong} Der \mathbb{L}(W), \bar{D})$

where $(\mathbb{L}(W), \bar{\delta}) = L_*(AZ, d_2)$ and any cycle of $(s\mathbb{L}(W) \oplus_{\sim} Der\mathbb{L}(W), \bar{D})$ is homologue to a cycle in $Im \Psi$, therefore Ψ^2 is surjective.

On the other hand, let $sx + \alpha$ be a cycle in $Im \Psi$ which is a boundary in $(s\mathbb{L}(W) \oplus_{\sim} Der\mathbb{L}(W), \bar{D})$. Then, there is an element $sy + \beta \in s\mathbb{L}(W) \oplus_{\sim} Der\mathbb{L}(W)$ such that: $-s\delta' y + ad y + [\delta', \beta] = sx + \alpha$.

As $x \in W_0$, then $x = -\delta'(y) = 0$ and we can suppose that $y \in W_0$. Moreover, $\alpha(W_p) \subset \bigoplus_{q \leq p} W_q$, then $\alpha = \Psi(\theta)$ where θ is a derivation of AZ verifying $\theta(Z) \subset \Lambda^+Z$. By induction on the bidegree of W and using specific properties of the bifiltered model [6], we define a derivation γ of $\mathbb{L}(W)$ such that $\gamma(W) \subset W$, $ad y + [\delta', \gamma] = \alpha$.

The derivation γ can be decomposed in a sum of two derivations γ_1 and γ_2 such that $\gamma_1(W_p) \subset \bigoplus_{q \leq p+1} W_q$, $\gamma_2(W_p) \subset \bigoplus_{q > p+1} W_q$. As the differential δ' is of bidegree $(-1, -1)$, then $[\delta', \gamma_2] = 0$, $ad y + [\delta', \gamma_1] = \alpha$, so we have the relations

$$[\delta'_1, \gamma_1] = \alpha, ad y + [\delta'_2, \gamma_1] = 0.$$

Therefore, according to (viii), $\alpha = D'(sy + \gamma_1)$ with $sy + \gamma_1 \in Im \Psi$, then α is a boundary in $Im \Psi$. \square

Corollary 3. *There is a spectral sequence $E^r(X)$ of graded Lie algebras such that*

$$E^2(X) = Ext_{U\pi_*(\Omega X) \otimes Q}(Q, \pi_*(\Omega X) \otimes Q),$$

which converges to $\pi_(\tilde{B} aut X) \otimes Q$.*

We call this sequence the classifying space spectral sequence of X .

3. Properties of $\pi_*(B aut X) \otimes Q$

In this section, we describe some properties of classifying spaces using the classifying space spectral sequence.

3.1. The Gottlieb group

Recall that the Gottlieb group $G(X)$ of X is the image of the map induced in homotopy by the evaluation $aut X \rightarrow X$ [8]; or equivalently, the image of the connecting map of the long exact sequence of homotopy groups induced by the universal fibration $X \rightarrow B aut^* X \rightarrow B aut X$. In terms of Quillen models, we define an evaluation map $k : Hom_{T(W)}(P, \mathbb{L}(W)) \rightarrow \mathbb{L}(W)$ by $k(f) = f(1)$, and consider the induced map in homology $H_*(k) : Ext_{T(W)}(Q, \mathbb{L}(W)) \rightarrow H_*(\mathbb{L}(W), \delta)$.

It is clear that $E^2_{0,*}(X) \cong Hom_{UL_X}(Q, L_X)$ is isomorphic to the center of the Lie algebra L_X . The result above gives more precision about the relation between the classifying space spectral sequence and the Gottlieb group on one hand, and the relation between the morphism k and the topological evaluation map on the other hand.

Proposition 4. (a) $H_*(k) = \pi_* \Omega(ev) \otimes Q$,
 (b) $E_{0,*}^\infty(X) = G(X_0) = Im H_*(k)$.

Remark. If X is a coformal space, $E_{0,*}^\infty(X) = E_{0,*}^2(X)$; then the Gottlieb group of X_0 coincides with the center of $\pi_*(\Omega X) \otimes Q$.

Proof. We have a commutative diagram

$$\begin{array}{ccc}
 Ext_{T(W)}(Q, \mathbb{L}(W)) & \xrightarrow{\cong} & H_*(s\mathbb{L}(W) \oplus Der \mathbb{L}(W)) \\
 \searrow^{H_*(k)} & & \swarrow^h \\
 & & H_*(\mathbb{L}(W), \delta)
 \end{array}$$

where h is defined by $h([sx + \theta]) = [(-1)^{|x|}x]$. Then, assertion (a) is a direct consequence of the fact that h is the connecting morphism of the homotopy exact sequence of the universal fibration $X \rightarrow B aut^* X \rightarrow B aut X$ [15]. From the classifying space spectral sequence, we have the relation $E_{0,*}^\infty(X) = Im (H_*(k))$.

Recall that the Gottlieb group of X_0 is isomorphic to the kernel of the map induced in homology by the adjunction map

$$ad : (\mathbb{L}(W), \delta) \rightarrow Der (\mathbb{L}(W), \delta) \quad [15].$$

To prove the assertion (b), it is sufficient to show that $Im H_*(k) = Ker(H_*(ad))$.

First, we show that $Im H_*(k) \subset Ker(H_*(ad))$. Let $[x] \in H_*(\mathbb{L}(W), \delta)$ such that $[x] = H_*(k)([f]) = [f(1)]$. Therefore, $ad x = [\delta, \theta]$, where θ is the derivation of $\mathbb{L}(W)$ defined by $\theta(y) = f(sy), \forall y \in W$, then $[x] \in Ker H_*(ad)$.

On the other hand, if $[x] \in Ker H_*(ad)$, there is a derivation θ of $\mathbb{L}(W)$ such that $ad x = [\delta, \theta]$ and in this case $[x] = (H_*(k))([f])$ where f is defined by $f(1) = x$ and $f(sy) = \theta(y)$. \square

We deduce from the classifying space spectral sequence that the homology class of $x \in \mathbb{L}(W)$ represents a Gottlieb element if and only if the $T(W)$ -modules morphism $f : T(W) \otimes Q \rightarrow \mathbb{L}(W)$ defined by $f(1) = x$ can be extended to a morphism \tilde{f} of $T(W)$ -modules on $T(W) \otimes (Q \oplus sW)$ such that $D\tilde{f} = 0$. This is the analogue of the description of Gottlieb elements given in ([7]).

3.2. Finite CW complexes

The next proposition describes an important property of the classifying space spectral sequence of a finite CW-complex.

Proposition 5. Let X be a finite simply connected CW-complex, there is an integer n such that $E_{q,*}^\infty(X) = 0$ for all q greater than n .

Proof. Define $n = \sup\{k, H^k(X, Q) \neq 0\}$. Let $\theta \in F_p, p > n$ such that $[d, \theta] = 0$, we shall prove that θ is a boundary i.e. there is a derivation θ' such that $\theta = [d, \theta']$. Suppose that θ' is defined on $Z^{<r}$ such that $\theta = [d, \theta']$ on $Z^{<r}$. Let $x \in Z^r$, compute

$$\begin{aligned} d(\theta(x) + (-1)^{|\theta|}\theta'(dx)) &= d\theta(x) + (-1)^{|\theta|}d\theta'(dx) \\ &= (-1)^{|\theta|}\theta(dx) + (-1)^{|\theta|}d\theta'(dx) \\ &= (-1)^{|\theta|}(\theta - [d, \theta'])(dx) \\ &= 0. \end{aligned}$$

Therefore there is an element y in AZ such that $\theta(x) + (-1)^{|\theta|}\theta'(dx) = dy$. Then define $\theta'(x) = y$, then θ and $[d, \theta']$ agree on $Z^{\leq r}$. \square

Corollary 6. Let X be a finite simply connected CW-complex such that $G(X_0) = 0$, then $E_{\geq 2}^{\infty}$ is a nilpotent ideal of $\pi_*(\Omega\tilde{B} \text{ aut } X) \otimes Q$.

Proposition 7. Let X be finite simply connected CW-complex such that $G(X_0) \neq 0$. If $E_{\geq 2}^{\infty}(X) = 0$, then there is a non trivial element in the center of $\pi_*(\Omega\tilde{B} \text{ aut } X) \otimes Q$.

Proof. Denote by (AZ, d) the Sullivan minimal model of X . Since the rational LS-category of X is finite, the Gottlieb group of X_0 is of finite dimensional [7]. Let $\alpha \in G(X_0) \cong E_0^{\infty}(X)$ be an element of the highest degree, say n , and $\beta \in \pi_*(\Omega\tilde{B} \text{ aut } X) \otimes Q$. As $E_{\geq 2}^{\infty}(X) = 0$, $\beta = \beta_0 + \beta_1$ where $\beta_i \in E_i^{\infty}(X)$. Therefore, $[\alpha, \beta] = [\alpha, \beta_1] + [\alpha, \beta_0] = [\alpha, \beta_1]$. Since $[\alpha, \beta_1] \in E_0^{\infty}(X)$, $[\alpha, \beta_1]$ corresponds to a Gottlieb element of X_0 of degree greater than n , then $[\alpha, \beta_1] = 0$, so α is in the center of $\pi_*(\Omega\tilde{B} \text{ aut } X) \otimes Q$. \square

3.3. Derivations of $\pi_*(\Omega X) \otimes Q$

We cannot expect to recover the homotopy groups of $B \text{ aut } X$ from derivations of $\pi_*(\Omega X) \otimes Q$, even if X is a coformal space. Since $[E_1^{\infty}, E_1^{\infty}] \subset E_1^{\infty}$, then E_1^{∞} is endowed with a Lie algebra structure inherited from E_1^2 . As we shall see in the next result, E_1^2 is related, in a certain manner, to derivations of $\pi_*(\Omega X) \otimes Q$.

Proposition 8. There is a Lie algebra isomorphism

$$\text{Der}(\pi_*(\Omega X) \otimes Q) / \text{ad } L \rightarrow E_{1,*}^2,$$

where $\text{ad } L$ is the ideal of $\text{Der}(\pi_*(\Omega X) \otimes Q)$ generated by inner derivations.

Remark. If X is a coformal space, then $\text{Der}(\pi_*(\Omega X) \otimes Q) / \text{ad } L$ is a sub Lic algebra of $\pi_*(B \text{ aut } X) \otimes Q$.

Proof. Recall that $C^*(\pi_*(\Omega X) \otimes Q) \cong (AZ, d_2)$, where $Z^n = s^{-1} \text{Hom}(\pi_{n-1}(\Omega X) \otimes Q, Q)$. Define $\phi : \text{Der}(\pi_*(\Omega X) \otimes Q) \rightarrow E_{1,*}^2$ as follows. Let θ be a derivation of

$\pi_*(\Omega X) \otimes Q$, define a map $\phi_\theta : Z \rightarrow Z$ by $\langle \phi_\theta(s^{-1}z), sx \rangle = -(-1)^{|\theta||sx|} \langle s^{-1}z, s\theta(x) \rangle$, and extend it as a derivation on ΛZ . A straightforward computation shows that ϕ is compatible with the Lie bracket; moreover, $[d_2, \phi_\theta] = 0$ since θ is a Lie algebra derivation. Therefore, ϕ_θ represents an element of $E_{1,*}^2$.

Let α be a derivation of ΛZ such that $\alpha(Z) \subset \Lambda^+ Z$ and $[d_2, \alpha] = 0$. Write $\alpha = \alpha_1 + \dots + \alpha_i + \dots$ where $\alpha_i(Z) \subset \Lambda^i Z$. As $[d_2, \alpha_1] = 0$, there is a derivation θ of $\pi_*(\Omega X) \otimes Q$ such that $\phi_\theta = \alpha_1$. This shows that the map ϕ is surjective.

It remains to show that $\text{Ker } \phi \cong ad L$, where $ad L$ is the ideal of inner derivations of $\pi_*(\Omega X) \otimes Q$.

Let θ be an inner derivation of $\pi_*(\Omega X) \otimes Q$, there is an element a in $\pi_*(\Omega X) \otimes Q$ such that $\theta(x) = [a, x]$, $\forall x$. Consider the element $z = s^{-1}a^* \in Z$ and define a map α on Z such that $\alpha(z) = 1$ and extend it to a derivation α such that $\phi_\theta - [d_2, \alpha] = 0$ in $E_{1,*}^2$, therefore $ad L \subset \text{Ker } \phi$. Inversely if $\phi_\theta - [d_2, \alpha] = 0$ in $E_{1,*}^2$, $\alpha = \alpha_0 + \dots + \alpha_i + \dots$ with $\alpha_i(Z) \subset \Lambda^i Z$, then $\phi_\theta = [d_2, \alpha_0]$; therefore, the dual of α_0 is an inner derivation which coincides with θ . \square

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